



FORM PTO-1390 US DEPARTMENT OF COMMERCE

**REV. 5-93PATENT AND TRADEMARK OFFICE** 

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEYS DOCKET NUMBER P01.0020

U.S. APPLI TION NO. (if known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO. PCT/DE99/02846

INTERNATIONAL FILING DATE **08 SEPTEMBER 1999** 

PRIORITY DATE CLAIMED **23 SEPTEMBER 1998** 

TITLE OF INVENTION

# METHOD AND APPARATUS FOR DETERMINING A SEQUENCE OF ACTIONS FOR A SYSTEM

APPLICANT(S) FOR DO/EO/US

#### RALF NEUNEIER ET AL.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
- 2.  $\square$ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
- 3. ⊠ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay.
- 4. ⊠ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority
- A copy of International Application as filed (35 U.S.C. 371(c)(2)).
  - a. 🛭 is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. 🗆 has been transmitted by the International Bureau.
  - c. 🗆 is not required, as the application was filed in the United States Receiving Office (RO/US)
- A translation of the International Application into English (35 U.S.C. 371(c)(2). 6. ⊠
- 7. 🙉 Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3))
  - a. 🗆 are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. 🗆 have been transmitted by the International Bureau.
  - c. 🗆 have not been made; however, the time limit for making such amendments has NOT expired.
  - d. 🛭 have not been made and will not be made.
- 8. 0 A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- 10. 0 A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

#### Items 11. to 16. below concern other document(s) or information included:

- 11. ☎ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98; (PTO 1449, Prior Art, Search Report, References).
- 12. 🛭 An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included. (SEE ATTACHED ENVELOPE)
- 13. Amendment "A" Prior to Action.
  - A SECOND or SUBSEQUENT preliminary amendment.
- A substitute specification and substitute specification mark-up. 14. ⊠
- 15. ⊠ A change of address letter attached to the Declaration.
- 16. 2 Other items or information:
  - a. 

     Submission of Drawings
  - b. EXPRESS MAIL #EL 843728583 US dated March 21, 2001.

				JC02	Rec'd PCT/PTO	<u>2 1 MAR 2001</u>
U.S. APPLICATION NO. 100 sof 37 GF.R. 817 6 PCT/DE99/02846				OWNEY'S DOCKET NUMBER		
17. ☑ The following fees are submitted:					CALCULATIONS	PTO USE ONLY
BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)(5): Search Report has been prepared by the EPO or JPO \$860.00						
International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) \$690.00						
No international preliminary examination fee paid to USPTO (37 C.F.R. 1.482) but international						
search fee paid to USPTO (37 C.F.R. 1.445(a)(2) \$710.00						
Neither international preliminary examination fee (37 C.F.R. 1.482) nor international search fee (37 C.F.R. 1.445(a)(2) paid to USPTO \$1000.00						
International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) \$ 100.00						
ENTER APPROPRIATE BASIC FEE AMOUNT =					\$ 860.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than 20 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).					\$	
Claims	Number Filed		Number Extra	Rate		
Total Claims	21 -:	20 =	1	X \$ 18.00	\$ 18.00	
Independent Claims	02	3 =	0	X \$ 80.00	\$	
Multiple Dependent Claims \$270.00 +					\$	
TOTAL OF ABOVE CALCULATIONS =					\$ 878.00	
Reduction by ½ for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 C.F.R. 1.9, 1.27, 1.28)					\$	
SUBTOTAL =					\$ 878.00	
Processing fee of \$130.00 for furnishing the English translation later than   20   30 months from the earliest claimed priority date (37 CFR 1.492(ff)).					\$	
TOTAL NATIONAL FEE =					\$ 878.00	
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property +						
TOTAL FEES ENCLOSED =					\$ 878.00	
					Amount to be refunded	\$
					charged	\$
a.   A check in the a	mount of \$878.00	to cov	er the above fe	es is enclosed.		
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.						
c.  The Commission	er is hereby authorized	l to ch	narge apv additi	onal fees which	may be required or o	redit any overnavment
to Deposit Accor	unt No. 50-1519. A d	uplicat	te copy of this s	sheet is enclosed	d.	
to restore the application to pend	ling status.	=	4 9/	MA	1	most be mod and grantee
SEND ALL CORRESPONDE	NCE TO:	<u>_X</u>	IGNATURE	SUUCH	-	
SCHIFF HARDIN & WA	· ·	3	IGNATORE	`		
PATENT DEPARTMENT Steven H. Noll NAME					_	
233 South Wacker Drive						
Registration Number					_	

**CUSTOMER NUMBER 26574** 

## Express Mail Mailing Label Number EL 843728583 US

Date of Deposit: March 21, 2001

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 CFR 1.10(c) on the date indicated above and is addressed to:

BOX PCT
Assistant Commissioner for Patents
Washington DC 20231

Case Number:

P01,0020

Applicant(s):

Ralf Neuneier et al.

International Application No.

PCT/DE99/02846

International Filing Date 08 SEPTEMBER 1999

Priority Date Claimed

**23 SEPTEMBER 1998** 

Title:

METHOD AND ARRANGEMENT FOR DETERMINING A SEQUENCE

OF ACTIONS FOR A SYSTEM

### **Enclosed are the following documents:**

International application as filed;

English Translation;

**Executed Declaration**;

Change of Address form for Applicants' Representative;

PTO 1390 in duplicate;

Amendment "A" prior to action;

Substitute Specification and Substitute Specification mark-up;

Information Disclosure Statement, PTO 1449, Search Report, References;

Submission of Drawings;

Fee: \$878.00; Postcard.

(See attached envelope for Executed Assignment;

PTO 1595; \$40.00 filing fee; Postcard)

Signature of person mailing documents and fees

#### **BOX PCT**

# IN THE UNITED STATES DESIGNATED/ELECTED OFFICE OF THE UNITED STATES PATENT AND TRADEMARK OFFICE UNDER THE PATENT COOPERATION TREATY – CHAPTER II

## **SUBMISSION OF DRAWINGS**

APPLICANT(S):

NEUNEIER, R., et al.

ATTORNEY DOCKET NO:

P01,0020

INTERNATIONAL APPLICATION NO:

PCT/DE99/02846

INTERNATIONAL FILING DATE:

8 SEP 1999

**INVENTION:** 

METHOD AND ARRANGEMENT FOR

**DETERMINING A SEQUENCE OF** 

**ACTIONS FOR A SYSTEM** 

Assistant Commissioner for Patents Washington, DC 20231

Sir:

Applicants herewith submit four drawing sheets, showing Figures 1 - 6, in the captioned PCT application.

Respectfully submitted,

Steven H. Noll (Reg. No. 28,982)

SCHIFF, HARDIN & WAITE
Patent Department
6600 Sears Tower
233 South Wacker Drive
Chicago, IL 60606
Telephone: (312) 258-5790
Attorneys for Applicant(s)

Customer Number: 26574

**BOX PCT** 

## IN THE UNITED STATES DESIGNATED/ELECTED OFFICE OF THE UNITED STATES PATENT AND TRADEMARK OFFICE UNDER THE PATENT COOPERATION TREATY-CHAPTER II

## CHANGE OF ADDRESS OF APPLICANTS' REPRESENTATIVE

APPLICANT(S):

RALF NEUNEIER, ET AL.

ATTORNEY DOCKET NO .:

P01,0020

INTERNATIONAL APPLICATION NO:

PCT/DE99/02846

INTERNATIONAL FILING DATE:

8 SEP 1999

METHOD AND ARRANGEMENT FOR DETERMINING A SEQUENCE OF

**INVENTION:** 

**ACTIONS FOR A SYSTEM** 

Assistant Commissioner for Patents, Washington D.C. 20231

SIR:

Members of the firm of Hill & Simpson designated on the original Power of Attorney have merged into the firm of Schiff Hardin & Waite. All future correspondence for the above-referenced application therefore should be sent to the following address:

**SCHIFF HARDIN & WAITE** 

**Patent Department** 6600 Sears Tower 233 South Wacker Drive

Chicago, Illinois 60606-6473

**CUSTOMER NUMBER 26574** 

H Noll

Submitted by,

SCHIFF HARDIN & WAITE

Patent Department 6600 Sears Tower

Chicago, Illinois 60606-6473 Telephone: (312) 258-5790 Attorneys for Applicants

**CUSTOMER NUMBER 26574** 

M ĘĬ (I) u M